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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/664,982	09/17/2003	David Chong Sook Lim	112055-0040P1	4640

24267 7590 03/28/2006

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BOSTON, MA 02210

EXAMINER
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ANDUJAR, LEONARDO

ART UNIT	PAPER NUMBER
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2826

DATE MAILED: 03/28/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

10/664,982

**Applicant(s)**

LIM ET AL.

**Examiner**

Leonardo Andújar

**Art Unit**

2826

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 21 February 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,3-5,7 and 8 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3-5,7 and 8 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 01/31/2006 has been entered.

### ***Election/Restrictions***

2. Applicant's election without traverse of species 1 (fig. 3) in the reply filed on 03/24/2005 is acknowledged.

### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

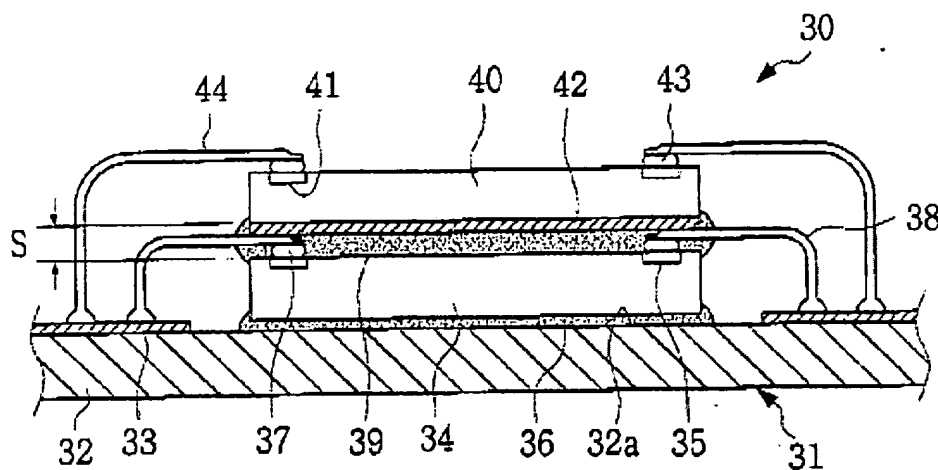
(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. Claims 1, 3, 5 and 7 are rejected under 35 U.S.C. 102(e) as being anticipated by Kang et al. (US 2003/017810 A1).

5. Regarding claim 1 Kang (e.g. fig. 3) shows a die containing package comprising: a die 34 defining electrical die contacts 35, a substrate 31 defining first substrate

Art Unit: 2826

contacts (i.e. the contact/pad areas defined by 33/38), flattened electrical conductive balls 37 attached to the die contacts and making electrical connection thereto, electrical conductive runs 33 on the substrate 12 connecting the first substrate contacts to second substrate contacts (i.e. the contact/pad areas defined by 33/44), electrically conductive wires 38 with first ends making electrical connections to the first substrate contacts, wherein the wires are formed to run substantially parallel to the surface of the die and wherein the other ends are horizontally attached to the flattened balls.



6.

7. Regarding claim 3, Kang shows that the second substrate contacts are located on the substrate opposite the first substrate contacts (e.g. right side vs. left side).

8. Regarding claim 5, Kang (e.g. fig. 3) shows process for packaging a die comprising the steps of: defining electrical die contacts 35, defining a substrate 31 with first substrate contacts (i.e. the contact/pad areas defined by 33/38); flattening an electrical conductive balls 37, attaching the flattened electrically conductive balls to the die contacts, forming electrical conductive runs 33 on the substrate 31 connecting the first substrate contacts to second substrate contacts (i.e. the contact/pad areas defined

Art Unit: 2826

by 33/44), connecting electrically conductive wires 38 to the first substrate contacts, running the electrically conductive wires substantially parallel to the surface of the die contacts and horizontally attaching the other ends of the wires to the flattened electrically conductive balls thereby making electrical connections therebetween and whereon the other ends remain substantially parallel to the surface of the die.

9. Regarding claim 7, Shim shows that the second substrate contacts are located on the substrate opposite the first substrate contacts (right side vs. left side).

***Claim Rejections - 35 USC § 103***

10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

11. Claims 1, 3-5, 7 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shim et al. (US 6,531,784) in view of Kang et al. (US 2003/017810 A1).

12. Regarding claim 1 Shim (e.g. fig. 9) shows a die containing package comprising: a die 14 defining electrical die contacts, a substrate defining first substrate contacts, flattened electrical conductive balls 58 attached to the die contacts and making electrical connections thereto, electrical conductive runs 22/24 on the substrate 12 connecting the first substrate contacts (i.e. the electrical contacts formed by the runs and the wires 28) to second substrate contacts 18, electrically conductive wires 28 with a first ends making electrical connection to the first substrate contacts, and wherein the

Shim does not teach that other ends are horizontally attached to the flattened ball. Nevertheless, Kang (e.g. fig. 3) shows electrically conductive wires 38 that run substantially parallel to the surface of the die and have ends that are horizontally attached to flattened balls 37. According to Kang, this type of connection minimizes a space between the first chip and a second chip, thereby reducing the total height of the semiconductor stack (abstract). It would have been obvious to one of ordinary skill in the art at the time the invention was made to horizontally attach the other ends of the wires disclosed by Shim to the flattened balls in accordance to Kang's invention to minimize the space between the first and the second chip, thereby reducing the total height of the semiconductor stack.

Art Unit: 2826

13. Regarding claim 3, Shim shows that the second substrate contacts are located on the substrate opposite the first substrate contacts.

14. Regarding claim 4, Shim shows that the second substrate contacts are located to accommodate a pin out different from the die.

15. Regarding claim 5, Shim (e.g. fig. 9) shows process for packaging a die comprising the steps of: defining electrical die contacts, defining a substrate 12 with first substrate contacts, flattening an electrical conductive balls 58, attaching the flattened electrically conductive balls to the die contacts, forming electrical conductive runs 22/24 on the substrate 12 connecting the first substrate contacts (i.e. the electrical contacts formed by the runs and the wires 28) to second substrate contacts 18, connecting electrically conductive wires 28 to the first substrate contacts, running the electrically conductive wires substantially parallel to the surface of the die contacts and attaching the other ends of the wires to the flattened electrically conductive balls thereby making electrical connections therebetween and wherein the other ends remain substantially parallel to the surface of the die. Shim does not teach that other ends are horizontally attached to the flattened ball. Nevertheless, Kang (e.g. fig. 3) shows electrically conductive wires 38 that run substantially parallel to the surface of the die and have ends that are horizontally attached to flattened balls 37. According to Kang, this type of connection minimizes a space between the first chip and a second chip, thereby reducing the total height of the semiconductor stack (abstract). It would have been obvious to one of ordinary skill in the art at the time the invention was made to horizontally attach the other ends of the wires disclosed by Shim to the fattened balls in

Art Unit: 2826

accordance to Kang's invention to minimize the space between the first and the second chip, thereby reducing the total height of the semiconductor stack.

16. Regarding claim 7, Shim shows that the second substrate contacts are located on the substrate opposite the first substrate contacts.

17. Regarding claim 8, Shim shows that the second substrate contacts are located to accommodate a pin out 18 different from the die.

### ***Response to Arguments***

18. Applicant's arguments filed 01/31/2006 have been fully considered but they are moot in view of new grounds of rejection.

### ***Conclusion***

19. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Leonardo Andújar whose telephone number is 571-272-1912. The examiner can normally be reached on Mon through Thu from 9:00 AM to 7:30 PM EST.

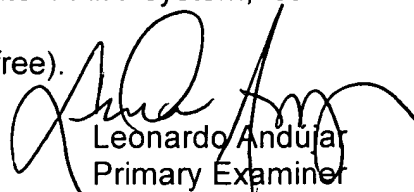
20. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nathan J. Flynn can be reached on 571-272-1915. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

21. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should



Art Unit: 2826

you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Leonardo Andujar  
Primary Examiner  
Art Unit 2826